



GAU 2161  
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11-02-01

ATTORNEY DOCKET NO.: D5045-00003(a)

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Metcalfe

Serial No.: 09/771,379

Filed: January 25, 2001

Group Art Unit: 2161

Examiner: not yet known

For: **ELECTRONIC ACTIVITY AND BUSINESS SYSTEM AND METHOD**

**RECEIVED**  
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Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited  
with sufficient postage as first class mail in an envelope  
addressed to: Assistant Commissioner for Patents,  
Washington, D.C., 20231 on:

October 8, 2001  
Date

*Kia Williams Lowery*  
Kia Williams Lowery

**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR.

§ 1.56 in connection with the above-identified application, which statement is being  
filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the  
filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action  
under §1.113 or Notice of Allowance under §1.311, whichever occurs first.  
[37 CFR § 1.97(c)]

- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☒ No separate requirements are needed.
- ☒ No additional fee is required.

☐ **Fee Under 37 CFR § 1.97(c)**

The fee of \$180.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

☐ **Petition Under 37 CFR § 1.97(d)**

This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee of \$130.00 set forth in § 1.17(i)(1) accompanies this petition.

☒ **Certification Under 37 CFR § 1.97(e)**

- (X) Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- ( ) No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

**Provision of Copies of References**

- ☒ A copy of references AA through AH listed on the attached Form PTO-1449 are enclosed herewith and form a part hereof.
- ☐ Partial Translations of References are enclosed herewith and form a part hereof.
- ☐ A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☒ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

**Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted**

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s). \_\_\_\_\_ filed \_\_\_\_\_. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

references AA through AG

- ☒ The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679. A duplicate copy of this transmittal is attached.

DATE: October 8, 2001

Respectfully submitted,



Robert E. Rosenthal  
Registration No. 33,450  
Attorney for Applicant(s)

DUANE, MORRIS & HECKSCHER LLP  
One Liberty Place  
Philadelphia, PA 19103-7396  
Telephone: 215-979-1255  
Fax: 215-979-1020

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<b>Form PTO-1449 Modified</b>  List of Patents and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>D5045-00003</b>	Serial No. <b>09/771,379</b>
	Applicant <b>Metcalf</b>	
	Filing Date <b>January 25, 2001</b>	Group <b>2161</b>

### U. S. PATENT DOCUMENTS

Examiner Initial	Cite No.	Document No.	Date	Name	Class	Subclass

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
						YES	NO
	AA	WO 01/03043	01/11/2001	PCT	Duncan		
	AB	WO 99/05629	02/04/1999	PCT	Bergato		
	AC	WO 00/17798	03/30/2000	PCT	i2Technologies, Inc.		
	AD	WO 00/62232	10/19/2000	PCT	Digital Media on Demand, Inc.		
	AE	WO 98/57285	12/17/1998	PCT	Messer		
	AF	WO 97/30407	08/21/1997	PCT	Lancaster, Black & Associates		
	AG	WO 96/21192	07/11/1996	PCT	Citibank, N.A.		

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AH	PCT International Search Report of Serial No. PCT/IB01/ 00727, dated August 17, 2001.

EXAMINER:

CONSIDERED:



GAU2161

ATTORNEY DOCKET NO.: D5045-00003(a)

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**Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted**

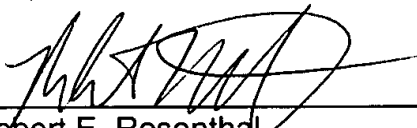
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s). \_\_\_\_\_ filed \_\_\_\_\_. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

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DATE: October 8, 2001

Respectfully submitted,

  
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Robert E. Rosenthal  
Registration No. 33,450  
Attorney for Applicant(s)

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One Liberty Place  
Philadelphia, PA 19103-7396  
Telephone: 215-979-1255  
Fax: 215-979-1020  
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**Form PTO-1449 Modified**Docket No.  
**D5045-00003**Serial No.  
**09/771,379**List of Patents and Publications  
Cited by Applicant  
(Use several sheets if necessary)Applicant  
**Metcalf**U.S. Department of Commerce  
Patent and Trademark OfficeFiling Date  
**January 25, 2001**Group  
**2161****U. S. PATENT DOCUMENTS**

Examiner Initial	Cite No.	Document No.	Date	Name	Class	Subclass
	AA	5,615,269	03/25/1997	Micali	380	49
	AB	6,035,288	03/07/2000	Solomon	705	37
	AC	5,970,475	10/19/1999	Barnes, et al.	705	27
	AD	6,064,981	05/16/2000	Barni, et al.	705	26
	AE	6,067,531	05/23/2000	Hoyt, et al.	705	35
	AF	6,101,485	08/08/2000	Fortenberry, et al.	705	27
	AG	6,141,653	10/31/2000	Conklin, et al.	705	80

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
						YES	NO

EXAMINER:

CONSIDERED: